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**Date:** 5/11/2006

**Number of fax pages INCLUDING cover sheet:** 20

**FROM:** Chris Guthrie  
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**TO:** Mail Stop Amendment  
Commissioner for Patents  
Alexandria, VA  
Fax: (571) 273-8300

**RE:** Application No. 10/661753  
Attorney docket no. 200310760-1

**Attached for filing:**

* Information Disclosure Statement	1 pg
* Statement Under 37 CFR 1.97(e)	1 pg
* PTO form SB/08A	2 pgs
* 12 foreign references	15 pgs

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P.O. Box 272400  
Fort Collins, Colorado 80527-2400

## PATENT APPLICATION

ATTORNEY DOCKET NO. 200310760-1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Inventor(s): John M. Koegler III et al

Confirmation No.: 8167

Application No.: 10/661753

Examiner: Lamb, C.

Filing Date: Sep 12, 2003

Group Art Unit: 2627

MAY 11 2006

Title: Optical Disk Modified For Speed And Orientation Tracking

Mail Stop Amendment  
Commissioner For Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97 (b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97 (c), together with either a:  
☒ Statement under 37 CFR 1.97 (e), or  
☐ a \$180 fee under 37 CFR 1.17 (p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d), together with either a:  
☐ Statement under 37 CFR 1.97 (e)(1) or (2), and  
☐ a \$180 fee set forth in 37 CFR 1.17 (p)  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$ 0.00 . At any time during the pendency of this application, please charge any fees required or credit any over payment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

Applicant(s) submit herewith form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

- ☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an english language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed.

It is requested that the information disclosed herein be made of record in this application.

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Typed Name: Chris Guthrie

Signature: Chris Guthrie

Respectfully submitted,

John M. Koegler III et al

By Matthew L Wade

Matthew L Wade

Attorney/Agent for Applicant(s)

Reg No.: 42,206

Date: 5-11-06

Telephone: 208 396 5263

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STATEMENT UNDER 37 CFR 1.97 (e)

The undersigned hereby certifies that either:

- ☒ Each item of information contained in the Information Disclosure Statement was cited for the first time in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- ☐ No item of information contained in the Information Disclosure Statement
- was cited in a communication from a foreign patent office in a counterpart foreign application, and
- to the knowledge of the undersigned, after making reasonable inquiry, was known to any designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

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By Matthew L. Wade

Matthew L Wade

Attorney/Agent for Applicant(s)

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**Complete if Known**

(Use as many sheets as necessary)

Sheet 1 of 2

Application Number	10/661753
Filing Date	Sep-12-2003
First Named Inventor	Koegler
Art Unit	2627
Examiner Name	Lamb, C.
Attorney Docket Number	200310760-1

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>2</sup> Number* Kind Code <sup>3</sup> (if known)				
		JP 2003-051118	02-21-2003	SONY CORP		
		JP 2002-251862	09-06-2002	RICOH CO LTD		
		JP 2002-203321	07-19-2002	YAMAHA CORP		
		JP 11-134648	05-21-1999	SONY CORP		
		JP 08-180499	07-12-1996	MATSUSHITA ELECTRIC		
		JP 01-151031	06-13-1989	NEC HOME ELECTRON		

**Examiner  
Signature**

Date  
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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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